



Annual/Semi-Annual Compliance Report for Compression

Ignition (CI) and Spark Ignition (SI) Engines^a

NESHAP for Reciprocating Internal Combustion Engines

40 Code of Federal Regulations (CFR) 63.6580 – 63.6675 (Subpart ZZZZ)

DNR Use Only
Con 10-1 /
Fac. #:
CO / MA

Annual/Semi-Annual Compliance Report Due Dates

Periodic compliance reports are only required for engines subject to a RICE NESHAP numeric emission limit. These reports are not required for engines that are only subject to RICE NESHAP maintenance requirements.

- Engines designated as “limited use^b” engines: January 31 each year (report covers the previous calendar year)
- Engines not designated as “limited use” engines: January 31 and July 31 each year (report covers previous calendar half)
- Facilities that have been issued a Title V permit can submit RICE NESHAP reports according to the Title V reporting schedule

Time Period Covered by This Report: _____ to _____

Facility Information

Name of Firm/Company: _____

Facility Name (if different): _____

Facility Number (if known): _____

Equipment Location - Street: _____

City: _____ State: _____ Zip: _____

Mailing Address (if different): _____

City: _____ State: _____ Zip: _____

Person to Contact: _____

Phone number: _____ Email (if available): _____

Compliance Information

During the reporting period, did the engines at this facility experience any malfunctions, deviations from RICE NESHAP emission or operating limitations, or periods during which the continuous monitoring system (CMS) was not operating?

- Yes, there were deviations
 No, there were no deviations

If you checked “yes” above, attach additional information to describe the deviation. Include the following information:

- Emission Unit Number
- Date and duration of deviation
- Description (E.g. catalyst inlet temperature or pressure drop out of range, CMS not operating)
- Corrective action taken

^a This is an example of the type of information that must be submitted to fulfill the Compliance Report requirements of 40 CFR 63, Subpart ZZZZ. You may submit the information in another format, or you may use this template.

^b Limited use engines are those that operate less than 100 hours per year.

Engine Data

Emission Unit Number ¹	Emission Unit Description ²		Brake Horsepower (bhp)	Is engine designated as a "limited use" ³ engine?	For each "limited use" engine, please indicate the total operating hours for the previous calendar year
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			
	<input type="checkbox"/> CI <input type="checkbox"/> SI	Fuel:			

¹The emission unit number can be any numbering system used by the facility, but the numbers used should be consistent for all RICE NESHAP reports. If an engine has a construction permit, the emission unit number from the permit should be used.

²CI stands for "compression ignition" – these engines typically use diesel as a fuel
SI stands for "spark ignition" – these engines typically use gasoline or natural gas as a fuel

³Limited use engines are those that operate less than 100 hours per year. Facilities are not required to claim "limited use" status for engines that operate less than 100 hours per year. It is optional. The reporting and stack testing frequency is lower for engines that are designated as "limited use."

Stack Testing

During the reporting period, was stack testing performed on any RICE at this facility?

- No, there were no stack tests done during this reporting period
- Yes, stack testing was done during this reporting period

If yes, was this the initial RICE NESHAP compliance testing, or subsequent periodic testing?

- Initial: If initial testing was done during this reporting period, a test summary was required to be included with the Notification of Compliance Status. No further test information is required for this Compliance Report.
- Periodic: If periodic testing was done during this reporting period, attach a stack test summary to this Compliance Report that includes the following information:

- Emission Unit Number
- Date of stack test
- Average CO inlet (ppmvd @ 15% O₂)
- Average CO outlet (ppmvd @ 15% O₂)
- CO reduction (%)
- Average Catalyst Inlet Temperature (°F)
- Average Catalyst Pressure Differential (in. H₂O)

Responsible Official Certification

I certify the truth, accuracy, and completeness of this notification.

Responsible Official Name: _____

Responsible Official Signature: _____ Date _____

Submit this notification to either DNR or the appropriate county office:

- NESHAP Coordinator, Iowa Department of Natural Resources, 6200 Park Ave Ste 200, Des Moines IA 50321
- If the facility is located in either Linn County or Polk County, this notification shall be submitted to the appropriate county office:

Polk County Public Works – Air Quality Division
5885 NE 14th St, Des Moines IA 50313

Linn County Public Health - Air Quality Division
1020 6th St SE, Cedar Rapids IA 52405

If the facility is subject to Title V, a copy of this notification should also be submitted to:

- Director, Air and Waste Management Division, U.S. Environmental Protection Agency, 11201 Renner Blvd., Lenexa, KS 66219