

# CLEAN WATER STATE REVOLVING FUND GENERAL NONPOINT SOURCE INTENDED USE PLAN (IUP) APPLICATION



This application is for a General Nonpoint Source (GNS) project to be funded by a Clean Water State Revolving Fund (CWSRF) direct loan. Applications are accepted on a quarterly basis by the Iowa Dept. of Natural Resources (DNR). Once applications are approved by the DNR SRF Nonpoint Source Manager the project will be added to the draft IUP project priority list (PPL). Draft PPLs undergo a public comment period and then are approved by the [Environmental Protection Commission](#) (EPC). Quarterly IUP application deadlines are posted on the [CWSRF program website](#).

## GNS Program Overview

The purpose of the Clean Water SRF GNS direct loan program is to improve and protect Iowa's water quality (see Iowa Administrative Code 567-93.3(4)). Proposed projects must result in water quality protection or improvement of the waterbody identified in the application. GNS projects may include, cleanup of brownfields, landfill closure, remediation of underground or aboveground storage tanks, stream bank stabilization, construction or restoration of wetlands and riparian areas, source water protection practices and other water quality practices with currently approved design standards.

## Eligibility Requirements

To be eligible to apply for a GNS direct loan the applicant must have a funding source for repayment of a CWSRF loan and the ability to issue a bond. Planning and design costs directly related to the GNS practice to be constructed are eligible for inclusion in the GNS loan. Participation in nontraditional projects where the primary purpose is not water quality protection or improvement will be limited to the portion of the project that is directly related to water quality improvement, restoration or protection.

Once an application has been approved by the EPC the DNR Project Manager will review the design concept for GNS program eligibility. Temporary and permanent easements as well as land acquisition necessary for the practice may be eligible. Construction work required to move, repair or replace existing water infrastructure (e.g. sewer, drinking water and stormwater pipes) must be evaluated for eligibility under the GNS program. Construction costs that do not directly result in a water quality improvement are not eligible under the GNS program.

## GNS Application and Project Process

1. Pre-application consultation
  - a. Prior to applying please contact the DNR SRF Nonpoint Source Program Manager to discuss the application process, project timeline and funding mechanism. A meeting with Iowa Finance Authority and stakeholders may be prudent.
  - b. A technical consultant (e.g. DNR engineer, IDALS urban conservationist) may also review the design concept before or after application submission to confirm the project will result in water quality protection or improvement.
2. Submit application to [SRF-IUP@iowa.dnr.gov](mailto:SRF-IUP@iowa.dnr.gov)
  - a. Applications are reviewed for completeness and GNS program eligibility.
  - b. Updates to the application may be requested to clarify project concept and associated eligible costs.
3. Application is approved by the DNR SRF Nonpoint Source Program Manager and the project is included on the draft PPL to be approved by the EPC. Applicant is notified by DNR that their project has been approved to go on the next quarterly IUP and PPL and the Applicant is assigned a DNR Project Manager.
4. The EPC approves the quarterly IUP and PPL which includes proposed GNS project and project cost.
5. Final plans and specifications are submitted to DNR Project Manager for preliminary eligibility review.
  - a. [SRF Nonpoint Front-Ends](#) must be included in final specifications in the bid document package
  - b. Final plans and specifications may be submitted to a technical consultant for review
  - c. The DNR Project Manager issues a Final Plans and Specifications Letter to applicant identifying eligible and non-eligible line items

6. Project goes to bid and is awarded.
7. The DNR Project Manager reviews bid award and issues an Eligibility Letter stating SRF eligible costs of project.
8. Applicant submits Construction Loan Application to Iowa Finance Authority.

**Application Instructions and Submission**

Complete the requested information in the GNS IUP Application Form and include supporting documentation (e.g. maps) as separate files. Detailed instructions on what is requested for Sections 1 through 6 is provided as a separate document "[GNS IUP Application Form Instructions](#)".

Submit the signed application along with the signed property assurance form and supporting documents to: [SRF-IUP@dnr.iowa.gov](mailto:SRF-IUP@dnr.iowa.gov)

**GNS IUP Application Form**

Project Name: \_\_\_\_\_

**Section 1: Applicant and Other Project Contacts**

**Applicant**

Public Entity Applicant Name: \_\_\_\_\_

Name of Authorized Representative: \_\_\_\_\_

Authorized Representative Title: \_\_\_\_\_

Street Address: \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

UEI Number: \_\_\_\_\_

**Consulting Engineer**

Consulting Firm: \_\_\_\_\_

Primary Point of Contact: \_\_\_\_\_ Primary Contact Title: \_\_\_\_\_

Street Address: \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Bond Council**

Bond Council Firm: \_\_\_\_\_

Bond Council Firm Contact: \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Municipal Advisor**

Municipal Advisor Firm: \_\_\_\_\_

Municipal Advisor Firm Contact: \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Additional Applicant Contacts**

Name of Additional Contact: \_\_\_\_\_

Title and Role in Project: \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Additional Consulting Engineer Contacts**

Name of Additional Contact: \_\_\_\_\_

Title and Role in Project: \_\_\_\_\_

Telephone: \_\_\_\_\_ E-mail: \_\_\_\_\_

Please list any additional partners or stakeholders involved in the project.

Organization	Contact Person	Email Address

**Section 2: Project Schedule**

Anticipated final plans and specifications submittal date: \_\_\_\_\_

Anticipated bid letting date: \_\_\_\_\_

Anticipated construction start date: \_\_\_\_\_

**Section 3: Brief Project Summary**

Describe the reasons for the proposed project: (i.e. specific water quality problem)

Describe the proposed project: (i.e. specific solution to the water quality problem)

Primary Impacted Waterbody: \_\_\_\_\_

Does the project involve multiple practices or multiple phases? If so please describe the purpose of each project component.

**Section 4: Project Area**

Please attach to the application a map or multiple maps that identify 1) the footprint of the proposed project in the project area, and 2) public versus private property in the proposed project area.

Latitude: \_\_\_\_\_ Longitude: \_\_\_\_\_

**Section 5: Project Cost and Signature**

<b>Cost Category</b>	<b>Estimated Total Cost in \$</b>
Legal Expenses	
Land and Easements	+
Engineering Planning and Design	+
Engineering Construction	+
Construction	+
Equipment	+
Other:	+
<b>PROJECT SUBTOTAL</b>	=
Contingencies	+
Planning and design loan proceeds, if rolling into construction loan	+
Less Any Funds Requested from Other Sources	-
<b>LOAN SUBTOTAL</b>	=
Loan Initiation Fee (Loan Subtotal x .005)	+
<b>TOTAL IUP REQUEST (Round to the nearest \$1,000)</b>	=

Which other funding programs are you considering to assist in completion of this project? (Check all that apply)

<b>Funding Program</b>	<b>Yes</b>	<b>No</b>	<b>Amount (If Known)</b>
CWSRF Water Resources Restoration Sponsored Project	<input type="checkbox"/>	<input type="checkbox"/>	
Community Development Block Grant (CDBG)	<input type="checkbox"/>	<input type="checkbox"/>	
Reserve Funds	<input type="checkbox"/>	<input type="checkbox"/>	
Other – Specify:	<input type="checkbox"/>	<input type="checkbox"/>	

Other funding details (if applicable):

**Authorized Representative Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Section 6: Acquisition of Property by SRF Applicants**

US ENVIRONMENTAL PROTECTION AGENCY

ASSURANCE WITH RESPECT TO REAL PROPERTY ACQUISITION OF TITLE III OF THE UNIFORM RELOCATION ASSISTANCE AND REAL PROPERTY ACQUISITION POLICIES ACT OF 1970 AS AMENDED

The \_\_\_\_\_ (Applicant) hereby assures that it has authority under applicable State and local law to comply with Section 213 of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, Public Law 91-646, 84 Stat. 1894 (42 U.S.C. 4601) as amended by the Surface Transportation and Uniform Relocation Assistance Act of 1987, Title IV of Public Law 100-17, 101 Stat. 246-256 (42 U.S.C. 4601 note) and 49 CFR 1.48(cc); and certifies, assures and agrees that, notwithstanding any other provision set forth in the application.

1. For projects resulting in the displacement of any person:
  - a. It will adequately inform the public of the relocation payments and services which will be available as set forth in Subparts A, C, D and E of 49 CFR 24.
  - b. It will provide fair and reasonable relocation payments to displaced persons as required by Subparts D and E of 49 CFR 24.
  - c. It will provide a relocation assistance program for displaced persons offering services described in Subpart C of 49 CFR 24.
  - d. Comparable replacement dwellings will be available pursuant to Subpart F of 49 CFR 24, or provided if necessary, a reasonable period in advance of the time any person is displaced.
  - e. In acquiring real property, it will provide at least 90 days written notice to each lawful occupant of real property acquired, stating the date such occupant is required to move from a dwelling or to move his business or farm operation.
2. For projects resulting in the acquisition of real property:
  - a. It will fully comply with the requirements of Subpart B of 49 CFR 24.
  - b. It will adequately inform the public of the acquisition policies, requirements and payments which apply to the project.
  - c. It will make every effort to acquire real property expeditiously through negotiation.
  - d. Before the initiation of negotiations, it will have the real property appraised and give the owner or his representative an opportunity to accompany the appraiser during inspection of the property, except as provided in 49 CFR 24.102(c)(2).
  - e. Before the initiation of negotiations, it will establish an amount which it believes to be just compensation for the real property, and make a prompt offer to acquire the property for that amount; and at the same time, it will provide the owner a written statement of the basis for such amount in accordance with 49 CFR 24.102.
  - f. Before requiring any owner to surrender possession of real property it will pay the agreed purchase price; or deposit with the court, for the benefit of the owner, an amount not less than the approved appraisal of the fair market value of the property; or pay the amount of the award of compensation in a condemnation proceeding for the property.
  - g. If interest in real property is to be acquired by exercise of the power of eminent domain, it will institute formal condemnation proceedings and not intentionally make it necessary for an owner to institute legal proceedings to prove the fact of the taking of this real property; and
  - h. It will offer to acquire the entire property, if acquisition of only part of a property would leave its owner with an uneconomic remnant.

References to 49 CFR are citations to Title 49, Code of Federal Regulations, Part 24, published in the Federal Register Vol. 54, No. 40, March 2, 1989.

This document is hereby made part of and incorporated in any contract or agreement, or any supplements and amendments thereto, relating to the above-identified application and shall be deemed to supersede any provision therein to the extent that such provisions conflict with the assurances or agreements provided therein.

Legal Name of Applicant: \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_ Date: \_\_\_\_\_